



Course Title	CPE Total Credits	NASBA Field of Study
On-Demand/ Online		
Advanced Credit Risk Analysis	21.5	Accounting
Advanced Financial Statement Analysis	14.0	Accounting
Advanced Financial Statement Analysis	14.0	Accounting
Analysis of Financial Institutions	14.0	Accounting
Analyzing and Benchmarking Financial Information	1.0	Accounting
Asset Allocation and Taxation	1.0	Accounting
Basel III: Measuring and Managing Operational Risk	21.5	Accounting
Brokerage Accounting	14.0	Accounting
Brokerage Accounting & Regulatory Reporting	10.0	Accounting
Budgeting for Nonfinancial Managers	1.0	Accounting
Business Finance for Sales Executives	14.0	Accounting
Business Valuation	6.0	Accounting
Capital Budgeting	1.0	Accounting
Cash Flow Forecasting	14.0	Accounting
Comparing US GAAP & IFRS	14.0	Accounting
Corporate Credit Analysis	5.0	Accounting
Corporate Credit Risk Judgment	28.5	Accounting
Credit and Credit Risk Analysis Professional Certificate: Online	35.0	Accounting
Differences between U.S. GAAP and IFRS	0.5	Accounting
Finance Essentials for the Professional	14.0	Accounting
Finance for Nonfinancial Managers	6.0	Accounting
Financial Accounting 101	14.0	Accounting
Financial Accounting Professional Certificate: Online	35.0	Accounting
Financial Acumen for Managers	14.0	Accounting
Financial Risk Management for Insurance Companies	14.0	Accounting
Financial Statement Analysis	7.0	Accounting
Financial Statement Analysis	20.0	Accounting
Global Convergence of Accounting Standards: Understanding US GAAP and IFRS Differences	2.0	Accounting
Intermediate Accounting Topics: Leases, Pensions, Deferred Taxes	14.0	Accounting
Introduction to Financial Statements	1.0	Accounting
Introduction to Net Capital Rule 15c3-1	7.0	Accounting
Key Financial Statements	1.0	Accounting
Managerial Accounting	14.0	Accounting
Qualitative (Nonfinancial) Analysis	1.0	Accounting
Recording Financial Information	1.0	Accounting
Risk Analysis Using Ratios	2.0	Accounting
The Foundations of Free Cash Flows	1.0	Accounting
The Impact of Accounting Principles	2.0	Accounting
The Weighted Average Cost of Capital	1.0	Accounting
Time Value of Money	1.0	Accounting
US GAAP and IFRS: An Introduction	1.0	Accounting
US GAAP and IFRS: Categorizing Differences	0.5	Accounting
Valuation Basics	2.0	Accounting
Brokerage Operations Processing: New Regulations	1.0	Auditing
Brokerage Operations: Current Trends	1.0	Auditing
Corporate Failure - Early Warning Signs	14.0	Auditing
Covered and Uncovered Calls in Margin Accounts	1.0	Auditing
Covered and Uncovered Puts in Margin Accounts	1.0	Auditing
Day-Trading Margin Rules	1.0	Auditing
Generally Accepted Accounting Principles (GAAP) and Audits	1.0	Auditing
International Operations	1.0	Auditing
Introduction to Business Valuation	1.0	Auditing
Introduction to Corporate Credit Analysis	1.0	Auditing
Investment Adviser Regulations	7.0	Auditing
Budgeting Basics	14.0	Finance
Corporate Governance in an Era of Financial Crisis	14.0	Finance
Evaluating Distressed Debt	21.5	Finance
How to Develop a Private Banking Model	14.0	Finance
Introduction to Alternative Investments - Intensive	14.0	Finance
Introduction to Private Equity Investments	7.0	Finance



Course Title	CPE Total Credits	NASBA Field of Study
On-Demand/ Online		
Introduction to the Wealth Environment	7.0	Finance
Investing in Emerging Markets	14.0	Finance
Market Risk for OTC Products	21.5	Finance
Mutual Fund Regulation and Governance	7.0	Finance
Mutual Funds	7.0	Finance
Negotiation Skills	7.0	Finance
Portfolio Management Academy (London)	35.0	Finance
Portfolio Management Professional Certificate - Online	35.0	Finance
Securities Analysis	20.0	Finance
Securities Analysis - Intensive	14.0	Finance
Stock Investing for Professionals	35.0	Finance
Strategic Gold Investing for Professionals	7.0	Finance
Wealth Management Overview	14.0	Finance
Winning the Relationship Advantage	7.0	Finance
Advanced Equity Valuation Techniques	1.0	Management Services
Advanced Portfolio Management Theory	1.0	Management Services
Asset Backed Securities	5.0	Management Services
Bond Valuation	1.0	Management Services
Brokerage Operations Processing: Purchase and Sales	1.0	Management Services
Brokerage Operations Processing: The Cashier's Department	1.0	Management Services
Brokerage Operations Processing: The Margin Department	1.0	Management Services
Brokerage Operations Processing: The Order Room	1.0	Management Services
Central Bank Structure	1.0	Management Services
Central Banks Around the World	1.0	Management Services
Corporate Finance	5.0	Management Services
Creating Money	1.0	Management Services
Creating the Federal Reserve System	1.0	Management Services
Credit Rating and Credit Scoring	1.0	Management Services
Evaluating Portfolio Performance	1.0	Management Services
Financial Analysis	1.0	Management Services
Fundamentals of the Brokerage Operations	8.0	Management Services
Fundamentals of the Securities Industry	8.0	Management Services
Global Investing and Alternative Investments	1.0	Management Services
Implementing Monetary Policy	1.0	Management Services
Indexing	1.0	Management Services
Industry and Company Forecasting	1.0	Management Services
International Banking	1.0	Management Services
Introduction to Asset-Backed Securities	1.0	Management Services
Introduction to Brokerage Operations	1.0	Management Services
Introduction to Debt Securities	2.0	Management Services
Introduction to Equity Securities	2.0	Management Services
Introduction to the Securities Industry	1.0	Management Services
Introduction to Various Mutual Fund Products	2.0	Management Services
Major Sectors of the Asset-Backed Securities Market	1.0	Management Services
Managing Portfolios with Bonds	1.0	Management Services
Managing Portfolios with Equities	1.0	Management Services
Money and Banking	9.0	Management Services
Money Markets and Portfolio Management	1.0	Management Services
Mutual Funds	10.0	Management Services
Online Professional Certificate in Asset Management	40.0	Management Services
Online Professional Certificate in Brokerage Operations	40.0	Management Services
Operation of a Mutual Fund Company	2.0	Management Services
Portfolio Management and Duration	1.0	Management Services
Portfolio Management I	7.0	Management Services
Portfolio Management II	8.0	Management Services
Portfolio Theory: Portfolio Monitoring	1.0	Management Services
Portfolio Theory: Risk and Return	1.0	Management Services
Portfolio Volatility and Duration	1.0	Management Services
Pricing and Trading of Asset Backed Securities	1.0	Management Services
Processing Securities Transactions	1.0	Management Services



Course Title	CPE Total Credits	NASBA Field of Study
On-Demand/ Online		
Risk Management for Debt & Equity Markets	14.0	Management Services
Risk Management of Derivatives	14.0	Management Services
Risk Management Professional Certificate - Online	40.0	Management Services
Risk Management Using Derivatives	7.0	Management Services
Selecting the Right Mutual Fund	2.0	Management Services
Structure and Rating of Asset-Backed Securities	1.0	Management Services
Style Investing	1.0	Management Services
The Future of the Asset-Backed Securities Market	1.0	Management Services
The Individual Investor	1.0	Management Services
The Institutional Investor	1.0	Management Services
Theory of Monetary Policy	1.0	Management Services
Trading Securities in the Secondary Markets	2.0	Management Services
Trends in the Mutual Fund Industry	2.0	Management Services
Understanding Mutual Funds	2.0	Management Services
Wealth Management	4.0	Management Services
Business Writing Skills	7.0	Personal Development
Advanced Hedge Funds & Topics	7.0	Specialized Knowledge
Anti Money Laundering	7.0	Specialized Knowledge
Basel III and Emerging Market Banks	35.0	Specialized Knowledge
Basel III Credit Risk Measurement	21.5	Specialized Knowledge
Basics of Forwards and Futures	2.0	Specialized Knowledge
Bond Trading	7.0	Specialized Knowledge
Bonds and Their Features	1.0	Specialized Knowledge
Brokerage Operations Professional Certificate: Online	20.0	Specialized Knowledge
Capital Markets 101: Online	14.0	Specialized Knowledge
Cash Account Option Transactions	1.0	Specialized Knowledge
Characteristics of Trusts	1.0	Specialized Knowledge
Commodity Markets	14.0	Specialized Knowledge
Common Stock	2.0	Specialized Knowledge
Compliance for the Securities Industry	20.0	Specialized Knowledge
Compliance for the Securities Industry - Intensive	14.0	Specialized Knowledge
Comprehensive Hedge Funds	8.0	Specialized Knowledge
Convertible Bonds	14.0	Specialized Knowledge
Corporate Finance & Valuation Methods Professional Certificate: Online	35.0	Specialized Knowledge
Customer Protection Rule 15C3-3 - Online	5.0	Specialized Knowledge
Cybersecurity for Financial Service Professionals	7.0	Specialized Knowledge
Derivative Instruments	7.0	Specialized Knowledge
Duties of a Trustee	1.0	Specialized Knowledge
Economic Indicators	14.0	Specialized Knowledge
Effective Business Writing	14.0	Specialized Knowledge
Energy Markets	14.0	Specialized Knowledge
Equities	7.0	Specialized Knowledge
Equities Trading	14.0	Specialized Knowledge
Equity Derivatives	14.0	Specialized Knowledge
Equity-Linked Issues	2.0	Specialized Knowledge
Essentials of Banking	14.0	Specialized Knowledge
Essentials of Business Valuation	14.0	Specialized Knowledge
Essentials of Corporate Finance	21.5	Specialized Knowledge
Exotic CDOs	7.0	Specialized Knowledge
Factors Affecting Financial Decisions	1.0	Specialized Knowledge
Factors That Impact an Option's Value	1.0	Specialized Knowledge
Financial Markets 101	14.0	Specialized Knowledge
Financial Modeling	14.0	Specialized Knowledge
Financial Products Primer	14.0	Specialized Knowledge
Financial Regulations Impact on Community Banks and Credit Unions	7.0	Specialized Knowledge
Financing the Acquisition	1.0	Specialized Knowledge
Fixed Income Markets I	14.0	Specialized Knowledge
Fixed Income Markets II	14.0	Specialized Knowledge
Fixed Income Mathematics	14.0	Specialized Knowledge
Fixed Income Securities	3.0	Specialized Knowledge



Course Title	CPE Total Credits	NASBA Field of Study
On-Demand/ Online		
FOCUS and Out	7.0	Specialized Knowledge
Foreign Exchange Marketplace - Intensive	14.0	Specialized Knowledge
Forward Rate Agreements	1.0	Specialized Knowledge
Forwards and Futures	6.0	Specialized Knowledge
Fundamentals of Options Products	1.0	Specialized Knowledge
Hedge Fund Strategies	2.0	Specialized Knowledge
How a Hedge Fund Works	2.0	Specialized Knowledge
Identifying Risks	1.0	Specialized Knowledge
Indexes	2.0	Specialized Knowledge
Integrating the Acquisition	1.0	Specialized Knowledge
Introduction to and Overview of Risk Management	7.0	Specialized Knowledge
Introduction to Corporate Finance	1.0	Specialized Knowledge
Introduction to Credit Derivatives	7.0	Specialized Knowledge
Introduction to Emerging Markets	7.0	Specialized Knowledge
Introduction to Financial Management	1.0	Specialized Knowledge
Introduction to Financial Modeling	14.0	Specialized Knowledge
Introduction to Forwards and Futures	1.0	Specialized Knowledge
Introduction to Margin Requirements	1.0	Specialized Knowledge
Introduction to Operational Risk	7.0	Specialized Knowledge
Introduction to Options	2.0	Specialized Knowledge
Introduction to Portfolio Risk	1.0	Specialized Knowledge
Introduction to Simulation for Financial Professionals	14.0	Specialized Knowledge
Introduction to Stealth Trading Using Fusion, Algorithms and Derivatives for Professionals	7.0	Specialized Knowledge
Introduction to Supply Chain Finance	14.0	Specialized Knowledge
Introduction to Swaps	2.0	Specialized Knowledge
Introduction to the Hedge Fund Industry	2.0	Specialized Knowledge
Introduction to Trusts	1.0	Specialized Knowledge
Investing in Latin American Markets	14.0	Specialized Knowledge
Investor Profiling	1.0	Specialized Knowledge
Issues for Hedge Fund Investors	2.0	Specialized Knowledge
Life Cycle of a Financial Derivative	14.0	Specialized Knowledge
Life Cycle of an Energy Derivative	14.0	Specialized Knowledge
Long Options in Margin Accounts	1.0	Specialized Knowledge
M&A Professional Certificate - Online	40.0	Specialized Knowledge
Managed Futures Workshop	14.0	Specialized Knowledge
Managing Equity Risk Using Derivatives	1.0	Specialized Knowledge
Managing Foreign Exchange Risk Using Derivatives	1.0	Specialized Knowledge
Managing Long-Dated Domestic Interest Rate Risk Using Derivatives	1.0	Specialized Knowledge
Managing Long-Dated Foreign Interest Rate Risk Using Derivatives	1.0	Specialized Knowledge
Managing Short-Dated Domestic Interest Rate Risk using Derivatives	1.0	Specialized Knowledge
Margin Accounts	7.0	Specialized Knowledge
Margin Calls	2.0	Specialized Knowledge
Margin for Options: An Introduction	1.0	Specialized Knowledge
Margin I: Introduction to Margin Regulations	6.0	Specialized Knowledge
Margin II: Advanced Margin for Options	8.0	Specialized Knowledge
Margin Requirements for Day-Trading and Bonds	1.0	Specialized Knowledge
Margin Requirements for Spreads, Straddles and Combinations	1.0	Specialized Knowledge
Margin Transactions	2.0	Specialized Knowledge
Measuring Money	1.0	Specialized Knowledge
Mergers and Acquisitions	5.0	Specialized Knowledge
Mortgage Backed Securities - Intensive	7.0	Specialized Knowledge
Mortgage Backed Securities Professional Certificate - Online	18.0	Specialized Knowledge
Note and Bond Futures	1.0	Specialized Knowledge
Oil Company Financial Analysis and Valuation	14.0	Specialized Knowledge
Online Professional Certificate in Derivatives	42.0	Specialized Knowledge
Online Professional Certificate in Valuation	43.0	Specialized Knowledge
Option Combination Strategies	1.0	Specialized Knowledge
Option Sensitivities	1.0	Specialized Knowledge
Options	7.0	Specialized Knowledge
Options Markets	20.0	Specialized Knowledge



Course Title	CPE Total Credits	NASBA Field of Study
On-Demand/ Online		
Options Primer - From Trade to Expiration	14.0	Specialized Knowledge
Options Terminology	1.0	Specialized Knowledge
Options Volatility Trading	20.0	Specialized Knowledge
Options Volatility Trading - Intensive	21.5	Specialized Knowledge
Overview of Basel III	7.0	Specialized Knowledge
Overview of Capital Markets	7.0	Specialized Knowledge
Overview of Mergers and Acquisitions	1.0	Specialized Knowledge
Overview of Structured Products	14.0	Specialized Knowledge
Overview of Trusts	5.0	Specialized Knowledge
Preferred Stocks	1.0	Specialized Knowledge
Pricing Options	2.0	Specialized Knowledge
Project Finance and Public Private Partnerships	21.5	Specialized Knowledge
Project Finance and the Public Private Partnership Professional Certificate: Online	17.0	Specialized Knowledge
Relative Volatility & Dispersion Trading	7.0	Specialized Knowledge
Risk Considerations for Mergers and Acquisitions	1.0	Specialized Knowledge
Risk Management Fundamentals	1.0	Specialized Knowledge
Risks of Forwards and Futures	1.0	Specialized Knowledge
Short-Term Interest Rate Futures	1.0	Specialized Knowledge
Stock Index Futures	1.0	Specialized Knowledge
Structured Products Suite	35.0	Specialized Knowledge
Terminal Value	1.0	Specialized Knowledge
The DCF Approach to Business Valuation	1.0	Specialized Knowledge
The Fixed Income Marketplace	1.0	Specialized Knowledge
The Fixed Income Regulatory Environment	1.0	Specialized Knowledge
The Limitations of the DCF Approach	1.0	Specialized Knowledge
The Nature of Money	1.0	Specialized Knowledge
Time Value Applications	1.0	Specialized Knowledge
Trading Derivatives	1.0	Specialized Knowledge
Trading Index Options	1.0	Specialized Knowledge
Trusts and Taxation	1.0	Specialized Knowledge
Types of Trusts	1.0	Specialized Knowledge
Using Excel to Solve Financial Problems	7.0	Specialized Knowledge
Value at Risk	7.0	Specialized Knowledge
Valuing the Acquisition Candidate	1.0	Specialized Knowledge
Volatility Professional Certificate Program	35.0	Specialized Knowledge
Volatility Topics - Core Concepts	21.5	Specialized Knowledge



Course Title	CPE Total Credits	NASBA Field of Study
In-Person Courses		
Advanced Credit Risk Analysis	21.5	Accounting
Advanced Financial Statement Analysis	14.0	Accounting
Advanced Financial Statement Analysis	14.0	Accounting
Analysis of Financial Institutions	14.0	Accounting
Basel III: Measuring and Managing Operational Risk	21.5	Accounting
Brokerage Accounting	14.0	Accounting
Brokerage Accounting & Regulatory Reporting	10.0	Accounting
Brokerage Operations	14.0	Accounting
Business Finance for Sales Executives	14.0	Accounting
Cash Flow Forecasting	14.0	Accounting
Comparing US GAAP & IFRS	14.0	Accounting
Corporate Credit Risk Judgment	28.5	Accounting
Credit Risk Analysis Professional Certificate	35.0	Accounting
Derivative Mathematics	14.0	Accounting
Derivatives & Hedge Accounting	14.0	Accounting
Finance Essentials for the Professional	14.0	Accounting
Financial Accounting 101	14.0	Accounting
Financial Accounting Professional Certificate	35.0	Accounting
Financial Acumen for Managers	14.0	Accounting
Financial Analysis of Insurance Companies	14.0	Accounting
Financial Risk Management for Insurance Companies	14.0	Accounting
Financial Statement Analysis	20.0	Accounting
Free Cash Flow Modeling	7.0	Accounting
Intermediate Accounting Topics: Leases, Pensions, Deferred Taxes	14.0	Accounting
Introduction to Financial Accounting	14.0	Accounting
Introduction to Net Capital Rule 15c3-1	7.0	Accounting
Managerial Accounting	14.0	Accounting
Corporate Failure - Early Warning Signs	14.0	Auditing
Equity Portfolio Management	7.0	Auditing
Investment Adviser Regulations	7.0	Auditing
Advanced Credit Risk Professional Certificate	35.0	Finance
Advanced Derivatives Professional Certificate	35.0	Finance
Advanced Portfolio Management Professional Certificate	35.0	Finance
Asset Liability Management	14.0	Finance
Budgeting Basics	14.0	Finance
Corporate Governance in an Era of Financial Crisis	14.0	Finance
Evaluating Distressed Debt	21.5	Finance
How to Develop a Private Banking Model	14.0	Finance
Introduction to Alternative Investments - Intensive	14.0	Finance
Introduction to Private Equity Investments	7.0	Finance
Introduction to the Wealth Environment	7.0	Finance
Investing in Emerging Markets	14.0	Finance
Market Risk for OTC Products	21.5	Finance
Mutual Fund Regulation and Governance	7.0	Finance
Mutual Funds	7.0	Finance
Negotiation Skills	7.0	Finance
Portfolio Management - Theory & Practice	14.0	Finance
Portfolio Management Academy (London)	35.0	Finance



Course Title	CPE Total Credits	NASBA Field of Study
In-Person Courses		
Portfolio Management Professional Certificate	57.5	Finance
Securities Analysis	20.0	Finance
Securities Analysis - Intensive	14.0	Finance
Stock Investing for Professionals	35.0	Finance
Strategic Gold Investing for Professionals	7.0	Finance
Structured Credit Modeling	14.0	Finance
Valuation and Credit Risk Management	21.0	Finance
VBA programming for Finance	14.0	Finance
Wealth Management Overview	14.0	Finance
Winning the Relationship Advantage	7.0	Finance
Yield Curve Analysis	7.0	Finance
Corporate Treasury Management	21.0	Management Services
Risk Management for Debt & Equity Markets	14.0	Management Services
Risk Management of Derivatives	14.0	Management Services
Risk Management Professional Certificate	35.0	Management Services
Business Writing Skills	7.0	Personal Development
Accounting for Mergers and Acquisitions	7.0	Specialized Knowledge
Advanced Alternative Investments	7.0	Specialized Knowledge
Advanced Alternative Investments	14.0	Specialized Knowledge
Advanced Data Science for Finance Professional Certificate	35.0	Specialized Knowledge
Advanced Derivatives Professional Certificate	35.0	Specialized Knowledge
Advanced Fixed Income Professional Certificate	35.0	Specialized Knowledge
Advanced Hedge Funds & Topics	7.0	Specialized Knowledge
Advanced Risk Management Professional Certificate	35.0	Specialized Knowledge
Advanced Risk Management Tools and Concepts	7.0	Specialized Knowledge
Advanced Tools for Derivatives Valuation	14.0	Specialized Knowledge
Anti Money Laundering	7.0	Specialized Knowledge
Basel III and Emerging Market Banks	35.0	Specialized Knowledge
Basel III Credit Risk Measurement	21.5	Specialized Knowledge
Bond Trading	7.0	Specialized Knowledge
Capital Markets 101: Market Structure, Products and Regulatory Trends	14.0	Specialized Knowledge
Capital Markets Products, Risks and Strategies	21.5	Specialized Knowledge
Capital Markets Professional Certificate	35.0	Specialized Knowledge
Commodity Markets	14.0	Specialized Knowledge
Compliance for the Securities Industry	20.0	Specialized Knowledge
Compliance for the Securities Industry - Intensive	14.0	Specialized Knowledge
Convertible Bonds	14.0	Specialized Knowledge
Core Skills Analyst Program with Accounting Bootcamp	140.0	Specialized Knowledge
Corporate Finance & Valuation Methods Professional Certificate	35.0	Specialized Knowledge
Credit, Liquidity and Operational Risk Management	7.0	Specialized Knowledge
Cybersecurity for Financial Service Professionals	7.0	Specialized Knowledge
Data Science for Finance Professional Certificate	35.0	Specialized Knowledge
Derivatives Professional Certificate	35.0	Specialized Knowledge
Economic Indicators	14.0	Specialized Knowledge
Effective Business Writing	14.0	Specialized Knowledge
Energy Markets	14.0	Specialized Knowledge
Equities Trading	14.0	Specialized Knowledge
Equity Derivatives	14.0	Specialized Knowledge



Course Title	CPE Total Credits	NASBA Field of Study
In-Person Courses		
Essentials of Banking	14.0	Specialized Knowledge
Essentials of Business Valuation	14.0	Specialized Knowledge
Essentials of Corporate Finance	21.5	Specialized Knowledge
Exotic CDOs	7.0	Specialized Knowledge
Financial Markets 101	14.0	Specialized Knowledge
Financial Modeling	14.0	Specialized Knowledge
Financial Modeling Professional Certificate	35.0	Specialized Knowledge
Financial Products Primer	14.0	Specialized Knowledge
Financial Regulations Impact on Community Banks and Credit Unions	7.0	Specialized Knowledge
Fixed Income Instruments and Markets	14.0	Specialized Knowledge
Fixed Income Markets I	14.0	Specialized Knowledge
Fixed Income Markets II	14.0	Specialized Knowledge
Fixed Income Mathematics	14.0	Specialized Knowledge
Fixed Income Mathematics: Pricing and Valuation of Bonds	14.0	Specialized Knowledge
Fixed Income Portfolio Management	7.0	Specialized Knowledge
Fixed Income Professional Certificate	35.0	Specialized Knowledge
FOCUS and Out	7.0	Specialized Knowledge
Foreign Exchange Marketplace - Intensive	14.0	Specialized Knowledge
Forwards and Futures	7.0	Specialized Knowledge
Fundamentals of Technical Analysis	14.0	Specialized Knowledge
Hedge Funds	7.0	Specialized Knowledge
Interest Rate Modeling	7.0	Specialized Knowledge
Introduction to and Overview of Risk Management	7.0	Specialized Knowledge
Introduction to Credit Derivatives	7.0	Specialized Knowledge
Introduction to Emerging Markets	7.0	Specialized Knowledge
Introduction to Financial Modeling	14.0	Specialized Knowledge
Introduction to Operational Risk	7.0	Specialized Knowledge
Introduction to Simulation for Financial Professionals	14.0	Specialized Knowledge
Introduction to Stealth Trading Using Fusion, Algorithms and Derivatives for Professionals	7.0	Specialized Knowledge
Introduction to Stress Testing	7.0	Specialized Knowledge
Investing in Latin American Markets	14.0	Specialized Knowledge
Life Cycle of a Financial Derivative	14.0	Specialized Knowledge
Life Cycle of an Energy Derivative	14.0	Specialized Knowledge
Managed Futures Workshop	14.0	Specialized Knowledge
Margin Accounts	7.0	Specialized Knowledge
Market Risk Management	7.0	Specialized Knowledge
Mergers and Acquisitions - Structuring the Deal	7.0	Specialized Knowledge
Mergers and Acquisitions Professional Certificate Program	35.0	Specialized Knowledge
Mergers and Acquisitions: Concepts and Theories	14.0	Specialized Knowledge
Mortgage Backed Securities	14.0	Specialized Knowledge
Mortgage Backed Securities - Intensive	7.0	Specialized Knowledge
Oil Company Financial Analysis and Valuation	14.0	Specialized Knowledge
Options Markets	20.0	Specialized Knowledge
Options Markets I	7.0	Specialized Knowledge
Options Markets II	7.0	Specialized Knowledge
Options Primer - From Trade to Expiration	14.0	Specialized Knowledge
Options Volatility Trading	20.0	Specialized Knowledge
Options Volatility Trading - Intensive	21.5	Specialized Knowledge



Course Title	CPE Total Credits	NASBA Field of Study
In-Person Courses		
Overview of Basel III	7.0	Specialized Knowledge
Overview of Capital Markets	7.0	Specialized Knowledge
Overview of Structured Products	14.0	Specialized Knowledge
Portfolio Performance Measurement and Attribution	7.0	Specialized Knowledge
Portfolio Risk Management	7.0	Specialized Knowledge
Portfolio Theory and Behavioral Finance	7.0	Specialized Knowledge
Project Finance and Public Private Partnerships	21.5	Specialized Knowledge
Relative Volatility & Dispersion Trading	7.0	Specialized Knowledge
Risk Capital and Enterprise Risk Management	7.0	Specialized Knowledge
Structured Credit Modeling	14.0	Specialized Knowledge
Structured Products Suite	35.0	Specialized Knowledge
Swaps I	7.0	Specialized Knowledge
Swaps II	7.0	Specialized Knowledge
Using Excel to Solve Financial Problems	7.0	Specialized Knowledge
Valuation of Interest Rate Derivatives	14.0	Specialized Knowledge
Value at Risk	7.0	Specialized Knowledge
Volatility and Variance Swaps	7.0	Specialized Knowledge
Volatility Professional Certificate Program	35.0	Specialized Knowledge
Volatility Topics - Core Concepts	21.5	Specialized Knowledge
Analysis of Financial Institutions	14.0	
US Banking Regulation	7.0	